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PTO/SB/29 (10-00)

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional applications under 37 CFR 1.53(d))		CHECK BOX, if applicable: <input type="checkbox"/> DUPLICATE
Address to: Assistant Commissioner for Patents Box CPA Washington, DC 20231	Attorney Docket No. of Prior Application	TSRI 433.1 D4
	First Named Inventor	Sherman
	Examiner Name	M. Davis
	Group Art Unit	1642
	Express Mail Label No.	EL587947258US

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09 / 277,074, filed on 3/26/99, entitled IN VIVO ACTIVATION OF TUMOR-SPECIFIC CYTOTOXIC...

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
[REDACTED]	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	1	-20* =	0	x \$ _____ = \$
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	1	-3** =	0	x \$ _____ =
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ _____ =
					BASIC FEE (37 CFR 1.16)
					\$740.00
					Total of above Calculations =
					\$740.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).					
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.					TOTAL = \$740.00

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 19 - 0962:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$ 740.00 is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☐ New Attorney Docket Number, if desired _____
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☒ Other: Certificate of Express Mailing

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

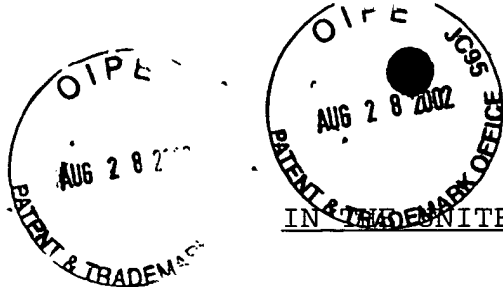
14. NEW CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<div style="border: 1px solid black; padding: 5px; display: inline-block;">26621</div>		or <input type="checkbox"/> New correspondence address below	
<small>(Insert Customer No. or Attach bar code label here)</small>					
Name					
Address					
City		State		Zip Code	
Country		Telephone		Fax	

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name (Print /Type)	Thomas E. Northrup
Signature	<i>Thomas E Northrup</i>
Registration No. (Attorney/Agent)	33,268
Date	August 28, 2002

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL COVER SHEET and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service Mail Label No. EL587947258US under 37 CFR 1.10 on the date indicated below and is addressed to: Box CPA, Assistant Commissioner for Patents, Washington, D.C. 20231.

Nancy L. Barker
Nancy L. Barker

8/28/02
Date of Deposit

Applicant: Sherman)	
)	
Serial No.: 09/277,074)	Group Art Unit: 1642
)	
Filed: March 26, 1999)	Examiner: M. Davis
)	
Title: <i>IN VIVO</i> ACTIVATION OF TUMOR- SPECIFIC CYTOTOXIC T CELLS)	Our Ref.: TSRI 433.1 D4



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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this PRELIMINARY AMENDMENT and documents submitted therewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service Mail Label No. EL587947258US under 37 CFR 1.10 on the date indicated below and are addressed to: Attn: Box CPA, Assistant Commissioner for Patents, Washington, D.C. 20231.

Nancy L. Barker
Nancy L. Barker

8/28/02
Date of Deposit

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om
9/6/02

Applicant:	Sherman)	
)	Group: 1642
Serial No.:	09/277,074)	
)	Examiner: M. Davis
Filed:	March 26, 1999)	
)	
For:	IN VIVO ACTIVATION OF)	Our Ref. TSRI 433.1 D4
	TUMOR-SPECIFIC CYTOTOXIC)	
	T CELLS)	

PRELIMINARY AMENDMENT

ATTN: BOX CPA
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Preliminary Amendment is filed in association with the above-captioned Continuing Patent Application and addresses the concerns of the Examiner as set forth in the Office Action dated August 29, 2001. Enclosed herewith is a Petition for a Four-Month Extension of Time and a check in the amount of \$1,440.00 to cover the cost of that Petition.